



**United Way**  
Sarnia-Lambton  
theunitedway.on.ca

# GIFT OF SECURITIES

## Notification of Securities Transfer

United Way of Sarnia-Lambton Charitable Registration Number: BN 11927 8380 RR0001

### Donor Information

First Name \_\_\_\_\_ Initial \_\_\_\_\_ Surname \_\_\_\_\_

Address \_\_\_\_\_

Phone \_\_\_\_\_ e-mail \_\_\_\_\_

### Transferring Institution

Delivering Institution: \_\_\_\_\_

Financial Advisor: \_\_\_\_\_ Phone: \_\_\_\_\_

Address of Delivering Institution: \_\_\_\_\_

CUID ID # of Transferring Institution \_\_\_\_\_

Account #: \_\_\_\_\_ (Account no. of Transferor/Donor at Transferring Institution)

### Transfer Information

Requested transfer date: \_\_\_\_\_

You are hereby authorized and directed to **transfer in kind** the following securities from the above noted account to the UNITED WAY OF SARNIA-LAMBTON - RBC Investor Services account number 231362001, using the transfer information provided below.

| Security Name | CUSIP/ISIN/SEDOL# | Number of shares/units |
|---------------|-------------------|------------------------|
|---------------|-------------------|------------------------|

|                    |                        |               |
|--------------------|------------------------|---------------|
| Approx Share Value | Approx. Donation Value | Exchange Name |
|--------------------|------------------------|---------------|

Signature of Transferor/Donor \_\_\_\_\_

Date (mm/dd/yyyy) \_\_\_\_\_

Please help us handle and acknowledge your gift appropriately by **emailing or faxing this form** to each of the below:

- United Way of Sarnia-Lambton, [Pam@theunitedway.on.ca](mailto:Pam@theunitedway.on.ca) or (519)383-6032
- Donor's Broker to arrange the share transfer
- United Way Broker - RBC Investor Services **Attention: Philippe Michaud**, Client Service Manager  
Fax: (416) 974-6905 Telephone: (416) 955-5253 Email: [philippe.michaud@rbc.com](mailto:philippe.michaud@rbc.com)

*Note: Securities may be returned to transferring institution if a copy of this letter has not been faxed to RBC Investor and Treasury, Investment Counsellor Services. Failure to provide sufficient details on this letter may also result in failure to settle.*

**Credit account: UNITED WAY OF SARNIA-LAMBTON**

**A/C : 231362001**

**Canadian Securities**

CUID: RTRA  
Re: United Way of Sarnia-Lambton  
Acct #: 231362001

**Physical Certificates:**

RBC Investor Services  
Securities Cage  
155 Wellington Street West, 2<sup>nd</sup> Floor  
Toronto, ON M5V 3L3  
Re: United Way of Sarnia-Lambton  
Acct #: 231362001

**Mutual Funds**

**Mail Deliveries:**

RBC Investor Services  
Pooled/Mutual Fund Operations  
P.O. Box 7500 Station A  
Toronto ON M5W 1P9  
Re: United Way of Sarnia-Lambton  
Acct #: 231362001

**U.S. Securities**

DTC #: 901  
Agent ID#: 80901  
T-Bills / Strip Bonds: ABA # 021 000 018  
Institution #: 53372  
Account #: 298307  
Re: United Way of Sarnia-Lambton  
Acct No.: 231362001

**Physical Certificates:**

Bank of New York  
1 Wall Street 3<sup>rd</sup> Floor Window A  
New York, NY USA 1004-2413  
Account #: 298307  
Re: United Way of Sarnia-Lambton  
Acct No.: 231362001

***1 Copy to United Way of Sarnia-Lambton for Tax Receipt Purposes (Fax 383-6032 or Phone 336-5452)***

***1 Copy to Donor's Broker to request transfer***

***1 Copy faxed or emailed to:***

RBC Investor Services, Investment Counsellor Services  
Attn: Philippe Michaud, Client Service Manager  
Fax: (416) 974-6905 Telephone: (416) 955-5253 Email: philippe.michaud@rbc.com

***Note: Securities may be returned to transferring institution if a copy of this letter has not been faxed to RBC Investor and Treasury, Investment Counsellor Services. Failure to provide sufficient details on this letter may also result in failure to settle.***